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# Real-Time Networks and Protocols for Industrial Automation

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### Summary of Ph.D. research topics



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Nowadays Industrial Communication Networks (ICNs) are employed at all levels of factory automation systems

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Nowadays Industrial Communication Networks (ICNs) are employed at all levels of factory automation systems

ICNs are especially employed for device level communication

**fast data exchange between controller and** sensors/actuators

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ICNs are especially employed for device level communication

**fast data exchange between controller and** sensors/actuators

Precision of device level data exchange strongly influences the performance of a factory automation system

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Nowadays Industrial Communication Networks (ICNs) are employed at all levels of factory automation systems

ICNs are especially employed for device level communication

**fast data exchange between controller and** sensors/actuators

Precision of device level data exchange strongly influences the performance of a factory automation system  $\rightarrow$ ICNs, differently from general purpose networks, have to satisfy tight reliability and timing requirements

# Networks/technologies for IC

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Networks/technologies available for IC:

**Fieldbuses: ICNs implementing deterministic protocols** (Token Bus, Token Ring, etc.)

# Networks/technologies for IC

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Networks/technologies available for IC:

- **Fieldbuses: ICNs implementing deterministic protocols** (Token Bus, Token Ring, etc.)
- Real Time Ethernet (RTE) networks: ICNs based on standard Ethernet IEEE 802.3 and using different techniques in order to improve determinism

# Networks/technologies for IC

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Networks/technologies available for IC:

- **Fieldbuses: ICNs implementing deterministic protocols** (Token Bus, Token Ring, etc.)
- Real Time Ethernet (RTE) networks: ICNs based on standard Ethernet IEEE 802.3 and using different techniques in order to improve determinism
- Wireless networks?

# Wired ICNs

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### **n** Fieldbuses

- $\blacksquare$  Transmission rates up to 10 Mb/s
- Several products available (Profibus, P-Net, WorldFIP, Interbus, CAN, AS-interface, SERCOS I and II, Modbus, LonWorks, ControlNet, DeviceNet, etc.)
- International Standard IEC 61158
- **Hundreds of millions nodes installed!**

### **2** RTE networks

- **High transmission rates (up to 100 Mb/s)**
- Several products available (EtherNet/IP, Profinet, Ethernet Powerlink, EtherCAT, Modbus on TCP, SERCOS III, etc.)
- International Standards IEC 61158 and IEC 61784
- **n** Increasing deployment

### Wireless networks

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### **11** Wireless networks

- $\blacksquare$  Differently from wired networks, there are no wireless networks specifically developed for  $IC \rightarrow$ research activity to evaluate/adapt existing wireless networks (IEEE 802.11 WLAN WiFi, IEEE 802.15.4 WPAN, IEEE 802.15.1 Bluetooth, etc.) according to IC requirements
- **Transmission rates varying in a wide range (IEEE 802.15.4 250**  $Kb/s \rightarrow$  IEEE 802.11 54 Mb/s)
- Wide deployment in several different fields

## Wireless technology for IC: Pros and Cons

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### Pros:

### ■ Cabling avoidance  $\rightarrow$

- Connection of devices that can not be reached by a cable (mobile components, etc.)
- **Decreased costs for cabling, installation and maintenance**
- Decreased risk of cables and connectors failures

# Wireless technology for IC: Pros and Cons

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### Pros:

### ■ Cabling avoidance  $\rightarrow$

- Connection of devices that can not be reached by a cable (mobile components, etc.)
- **Decreased costs for cabling, installation and maintenance**
- Decreased risk of cables and connectors failures

### Cons:

- Wireless channel is error prone  $\rightarrow$ 
	- High bit error rates (BER  $\sim [10^{-3}, 10^{-2}])$
	- $\blacksquare$  Transmission errors caused by different phenomena (path loss, fast and slow fading, noise and interference) exacerbated in industrial environments
	- Non-stationary wireless channel error characteristic

# Hybrid networks

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Cons  $\rightarrow$  it seems unrealistic that wireless networks will totally replace wired ICNs (at least in the short-mid term)!

# Hybrid networks

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Immediate employment of wireless technology for IC is for wireless extension of already deployed wired communication systems  $\rightarrow$  hybrid (wired/wireless) networks

# Hybrid networks

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Immediate employment of wireless technology for IC is for wireless extension of already deployed wired communication systems  $\rightarrow$  hybrid (wired/wireless) networks

### Why hybrid networks?

Effective solution to the problem of connecting to an already deployed wired industrial communication system few nodes that can not be reached (easily/reliably) by means of a cable!

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**1 Wireless networks are less performing than wired ICNs** (lower tx rates, larger protocol overheads, half-duplex tx, rate adaptation mechanism for  $E = 802.11$ , etc.)  $\rightarrow$  low throughput

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**1 Wireless networks are less performing than wired ICNs** (lower tx rates, larger protocol overheads, half-duplex tx, rate adaptation mechanism for  $E = 802.11$ , etc.)  $\rightarrow$  low throughput

- Controller on the wired segment
- Optimization of the wireless segment (reducing number of nodes and traffic)
- Increasing throughput (IEEE 802.11n)

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2 Wireless networks employ medium access techniques based on randomness (IEEE 802.11 CSMA/CA, etc.)  $\rightarrow$ unpredictable delays, jitter, missing deadlines in data delivery

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- TDMA (IEEE 802.15.4 beacon mode, PCF, iPCF)
- Frame prioritization (IEEE 802.11e)

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- **3** Wireless networks present high bit error rates  $\rightarrow$ re-transmissions, unpredictable delays, jitter and missing deadlines in data delivery

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2 Wireless networks employ medium access techniques based on randomness (IEEE 802.11 CSMA/CA, etc.)  $\rightarrow$ unpredictable delays, jitter, missing deadlines in data delivery

Solutions:

- TDMA (IEEE 802.15.4 beacon mode, PCF, iPCF)
- Frame prioritization (IEEE 802.11e)
- **3** Wireless networks present high bit error rates  $\rightarrow$ re-transmissions, unpredictable delays, jitter and missing deadlines in data delivery

- **Proper antennas placement**
- $\blacksquare$  5 GHz band (IEEE 802.11a)

## Hybrid ICNs: a case study

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### Wireless extension of Ethernet Powerlink, by means of the IEEE 802.11g WLAN

# Hybrid ICNs: a case study

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### Wireless extension of Ethernet Powerlink, by means of the IEEE 802.11g WLAN



- **Already deployed Ethernet** Powerlink system with one controller and M passive stations
- Necessity of connecting  $L$  new wireless passive stations
- Need to satisfy the same reliability and timing **The State** requirements satisfied by the pre-existing system ■ Solutions?

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GOALs: give insights on hybrid networks implementation, highlight problems and possible solutions concerning the interaction of the two networks, evaluate performance.

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Organization of research activity:

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**n** theoretical analysis (networks and devices specifications, scientific literature)

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- simulative analysis (Opnet network simulator)

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- simulative analysis (Opnet network simulator)
- **E** experimental analysis

# Ethernet Powerlink

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Ethernet Powerlink (EPL) is a popular RTE network defined by **1 EPL Standardization Group specifications** (www.ethernet-powerlink.org)

2 International Standard IEC61784-2 (CPF#13, CP#1)

# Ethernet Powerlink

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2 International Standard IEC61784-2 (CPF#13, CP#1)



- EPL network architecture
	- Data Link layer protocol placed on top of standard Ethernet IEEE 802.3 Phy and MAC
	- **EPL** frames are transmitted in standard Ethernet frames

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EPL Data Link layer protocol<br>specifies a medium access technology<br>and the Managing Node (MN) p<br>Controlled Nodes (CNs) during the Managing Sole (MN) p<br>Controlled Nodes (CNs) during specifies a medium access technique based on TDMA

■ The Managing Node (MN) polls the Controlled Nodes (CNs) during a cycle of fixed duration  $(t_{FPI})$ 

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### EPL cycle

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### EPL cycle

**11** Start Period

- EPL Data Link layer protocol<br>specifies a medium access technology<br>and the Managing Node (MN) p<br>Controlled Nodes (CNs) during the Managing Sole (MN) p<br>Controlled Nodes (CNs) during specifies a medium access technique based on TDMA
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### EPL cycle

- **11** Start Period
- **2** Isochronous Period
- EPL Data Link layer protocol<br>specifies a medium access technology<br>and the Managing Node (MN) p<br>Controlled Nodes (CNs) during the Managing Sole (MN) p<br>Controlled Nodes (CNs) during specifies a medium access technique based on TDMA
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### EPL cycle

- **11** Start Period
- 2 Isochronous Period
- 3 Asynchronous Period
- EPL Data Link layer protocol<br>specifies a medium access technology<br>and the Managing Node (MN) p<br>Controlled Nodes (CNs) during the Managing Sole (MN) p<br>Controlled Nodes (CNs) during specifies a medium access technique based on TDMA
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### EPL cycle

- **11** Start Period
- 2 Isochronous Period
- 3 Asynchronous Period
- 4 Idle Period
- EPL Data Link layer protocol<br>specifies a medium access technology<br>and the Managing Node (MN) p<br>Controlled Nodes (CNs) during the Managing Sole (MN) p<br>Controlled Nodes (CNs) during specifies a medium access technique based on TDMA
	- The Managing Node (MN) polls the Controlled Nodes (CNs) during a cycle of fixed duration  $(t_{FPI})$



## Implementation of the wireless extension

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 $ISO/OSI$  model specifies that the interconnection between different communication systems has to be achieved by means of Intermediate Systems (ISs)

Types of ISs:

- **Phy layer: repeaters**
- Data Link layer: bridges
- Higher layers: gateways

## Implementation of the wireless extension

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Types of ISs:

- **Phy layer: repeaters**
- Data Link layer: bridges
- Higher layers: gateways

We offered two different wireless extensions: one realized at the Data Link layer and the other realized at the Application layer.

## Extension at the Data Link layer

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### Bridge-based wireless extension:



### WCNs are directly included in the EPL cycle!

WCNs are directly included in the EPL cycle as CNs and the PReg and PRes frames flow across the bridge transparently to the EPL protocol.

## Extension at the Application layer



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### Gateway-based wireless extension:



### WCNs are polled by an application running on the gateway!

WCNs are polled by a specific application running on the gateway, implemented on one of the EPL network CNs. Two different asynchronous cycles are running on the hybrid network: the EPL cycle which involves only CNs and the WCNs cycle realized by the gateway.

## Performance Indicators

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Hybrid networks performance evaluation

## Performance Indicators

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Hybrid networks performance evaluation $\rightarrow$  need to define a set of Performance Indicators (PIs)

- to describe hybrid networks behavior
- $\blacksquare$  to highlight how the choice of system parameters influences the system behavior

# Performance Indicators

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Hybrid networks performance evaluation $\rightarrow$  need to define a set of Performance Indicators (PIs)

- to describe hybrid networks behavior
- $\blacksquare$  to highlight how the choice of system parameters influences the system behavior

Examples of considered PIs:

- **1** Polling Time  $(T_p)$
- 2 Minimum Cycle Time (MCT)
- **3** Delivery Time (DT)
- 4 Real Time Throughput (RTT)

# Polling Time

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# Polling Time  $T_p =$  time necessary to successfully poll a WCN

$$
T_p = t_{det} + T_{rand}
$$

# Polling Time

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# Polling Time  $T_p =$  time necessary to successfully poll a WCN  $T_p = t_{det} + T_{rand}$

$$
t_{\text{det}} = t_{\text{data}} + t_{\text{ack}} + t_{\text{int}}
$$

 $t_{data}$  = transmissions of data frames

 $t_{ack}$  = transmissions of ack frames

$$
t_{int} = \text{interframe times}
$$

$$
T_{rand} = T_{backoff} + T_{re-tx} + T_{devices}
$$

- $t_{backoff}$  = backoff times
	- $t_{re-tx}$  = retransmissions
- $t_{devices}$  = delays introduced by devices (buffer queues, operating

# Minimum Cycle Time

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Cycle time  $t_{EPL}$  of an EPL network is defined by the user in the off-line configuration phase and represent the sampling time of the system

Minimum Cycle Time  $MCT =$  lower bound of  $t_{FPI}$ 

$$
MCT = \sum_{i=1}^{L} \max(\mathcal{T}_p^i)
$$

 $L =$  number of WCNs

# Delivery Time

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Delivery Time  $DT =$  "the time needed to convey an APDU containing data (message payload) that has to be delivered in real-time from one node (source) to another node (destination)

$$
DT = T_{wait} + T_p
$$

 $T_{wait}$  = time the data have to wait in the node before the polling starts, depends on  $T_{EPL}$  and on the data generation process

# Real Time Throughput

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Real Time Throughput  $RTT =$  "number of octets per second" transmitted on a specific link  $(i)$  exclusively relevant to real-time traffic"

$$
RTT^i = \frac{b^i}{t_{EPL}}
$$

- inversely proportional to  $t_{EPL}$ , if  $b^i$  is constant, higher bound:  $\frac{b^i}{MC}$ MCT
- decreasing  $t_{FPL}$  down to MCT increases failed polling and, consequently, decreases  $b^i \rightarrow \text{tradeoff}$

# Theoretical analysis

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### **Hypotesis**

**1** Ideal wireless channel

- 2 Semi-ideal interconnection components (introducing only queue delays)
- 3 Semi-ideal EPL devices (introducing only fixed, EPL standard-specified delays)

# Example of PIs computation for the bridge-based extension

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$$
T_p = t_{txp\,Req} + t_{txPRes} +
$$
  
+t\_{wtxp\, Reg} + t\_{wtxPRes} +  
+2t\_{ack} + 2t\_{SIFS} + t\_{DIFS} +  
+D<sub>CN</sub> + B =  
= 287.72 $\mu$ s + [0 ÷ 15] · 9 $\mu$ s



$$
\mathsf{MCT} = L \cdot t_{\mathsf{const}} + (2L - 1) \cdot B
$$

 $B = CW_{min} \cdot t_{slot}$  = one backoff time (the first time the bridge does not execute backoff)

### Simulation

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### **Hypotesis**

- 1 Non-ideal wireless channel
	- Interference: caused by other communication systems transmitting on the same band
	- Fast fading: caused by the addition/removal of obstacles to signal propagation (e.g. movement of people/machines)
- 2 Semi-ideal interconnection components (introducing only queue delays)
- 3 Semi-ideal EPL devices (introducing only fixed, EPL standard-specified delays)

# Wireless channel modeling

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### **11** Interference

- **E** Effects: increased traffic on the wireless channel  $\rightarrow$ incorrect/delayed/missed frame receptions
- **Modeling: other wireless stations using a certain** percentage of the band
- Effects of fading may be reduced using prioritized frames (IEEE 802.11e)!

### **2** Fast fading

- Effects: temporal signal degradation  $\rightarrow$ incorrect/delayed/missed frames receptions
- Modeling: Gilbert-Elliott model

### Example of simulation results

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### Non-prioritized frame (IEEE 802.11g):







# Experimental scenario

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### **Hypotesis**

- Semi-ideal wireless channel (no interference, verified with a spectrum analyzer)
- **2** Real interconnection devices:
	- Linksys WAP54G Access Points
	- 3Com Office Connect Access Points
- **3** Real EPL devices
- **4** DAG board timestamping (60 ns resolution)



# Example of experimental measurements (1 on 2)

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 $T_p$  measured with Linksys APs:

 $T_p$  measured with 3 Com APs:



- **Linksys APs cause periodic** peaks in  $T_p$  (Idle state of the AP? Problem of AP parameters inaccessibility!)
- $\blacksquare$   $\tau_p$  values are considerably higher than those obtained from theoretical and simulative analysis (APs introduces consistent delays)

## Example of experimental measurements (2 on 2)

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### MCT empirical pdf with 3Com APs:



- Very asymmetric pdfs (presence of high value samples)!
- Delays introduced by the AP are non-negligible and non-predictable!

# Example of experimental measurements (2 on 2)

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### MCT empirical pdf with 3Com APs:



■ Very asymmetric pdfs (presence of high value samples)!

Delays introduced by the AP are non-negligible and non-predictable!

Need to introduce models of real wireless components in the theoretical and simulative analysis!

### Conclusion and Future Work

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- [Experimental](#page-54-0) results
- <span id="page-58-0"></span>[Conclusion](#page-58-0)
- **1** We analyzed a possible wireless extensions of Ethernet Powerlink by means of the IEEE 802.11 WLAN
- 2 We defined and computed, theoretically, via simulations and experimentally, a set of PIs suitable for polling-based networks
- 3 We shown how the presence of wireless channel non-idealities can negatively affect the system behavior
- **4** We proposed possible solutions
- 5 We experienced significant differences between theoretical analysis and experimental results
- 6 We experienced that IEEE 802.11 devices in some case present undesirable, not-modifiable behaviors
- 7 Future work will concern modeling of real wireless devices and strategies to limit the negative effects their behavior introduces in wireless network reliability

### Publications

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- [Hybrid ICNs:](#page-22-0)
- [Performance](#page-41-0) Indicators
- [Theoretical](#page-49-0)
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- [Experimental](#page-54-0) results

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- L. Seno, S. Vitturi, C. Zunino, "Analysis of Ethernet Powerlink Wireless Extensions Based on the IFFE 802.11 WI AN" IFFE Transactions on Industrial Informatics, vol. 5, n. 2, pp. 86-98, May 2009
- L. Seno, S. Vitturi, "Wireless extension of Ethernet Powerlink networks based on the IEEE 802.11 Wireless LAN". Proceedings of the 7th IEEE International Workshop on Factory Communication Systems (WFCS 2008)
- G. Gamba, L. Seno, S. Vitturi, "Performance indicators for wireless industrial communication networks". Proceedings of the 8th IEEE International Workshop on Factory Communication Systems (WFCS 2010)
- G. Gamba, L. Seno, S. Vitturi, "Theoretical and experimental evaluation of polling times for wireless industrial networks using commercially available components". Proceedings of the 15th IEEE International Conference on Emerging Technologies and Factory Automation (ETFA 2010)

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### Thank you!