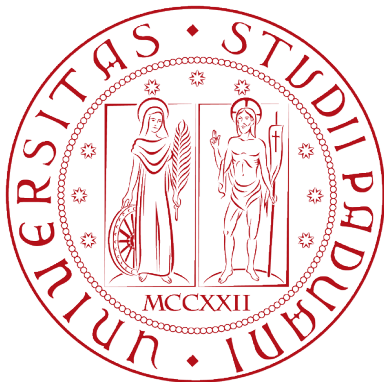


# Learning Algorithms for Robotics Systems

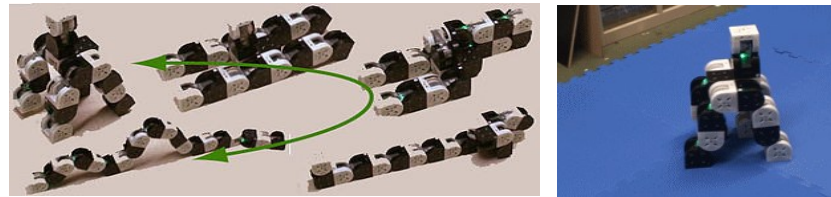
Ph.D. candidate: Alberto Dalla Libera  
Advisor: Ruggero Carli  
Co-Advisor: Gianluigi Pillonetto



# Motivation

Robotics systems are becoming always more and more **autonomous** and **reconfigurable**, as well as used in “**out of the cage**” applications:

MODULAR ROBOTICS  
(M-TRAN 3, AIST JAPAN)



COLLABORATIVE ROBOTICS



SERVICE ROBOTS



# Motivation

## CHALLENGING ISSUES:

- Decreasing **manufacturing and set-up costs**
- **Limited prior knowledge** about the robot model:
  - **Kinematic** (e.g. Modular Robotics)
  - **Dynamics** (e.g. low-quality components)
- Unknown **external environment**:
  - Workspace, tools, and object to be manipulated
  - Human-robot interaction (e.g. Collaborative Robotics)
- Need of **autonomous algorithms** for control (e.g. set-up costs reduction)

## POSITIVE ASPECT:

- Large availability of data (digital controller)

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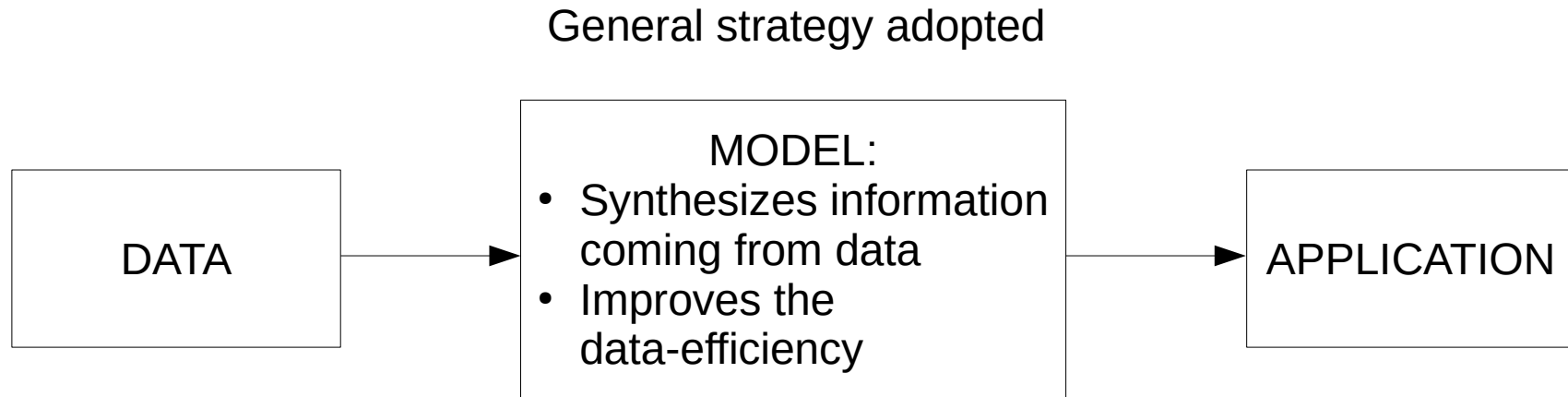
- Large availability of data (digital controller)

Can we face these challenges developing data-driven strategies?

# Outline

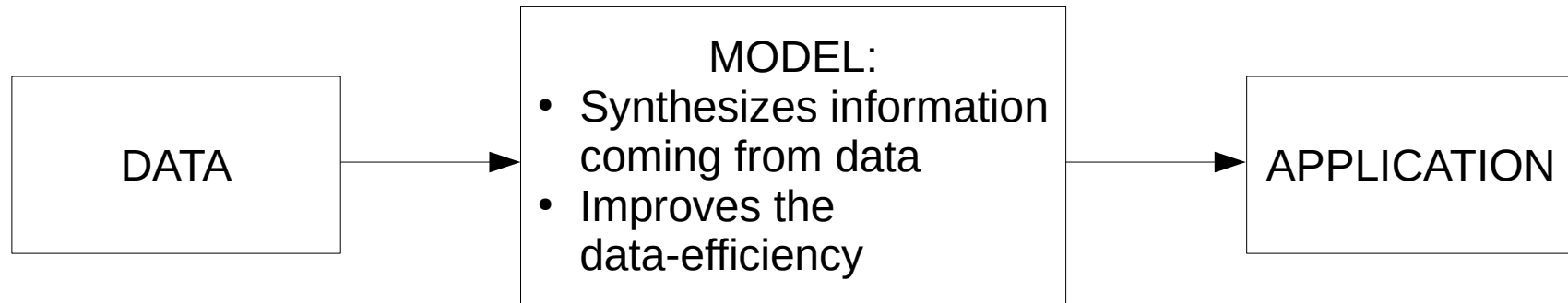
- Motivation
- Thesis overview:
  - Autonomous learning of the robot Kinematics
  - Inverse dynamics identification: proprioceptive contact detection
  - Reinforcement Learning: MC-PILCO
- Geometrically Inspired Polynomial (GIP) kernel

# Thesis overview



# Thesis overview

General strategy adopted



Problems considered

MODEL	APPLICATION
Kinematics	Kinematic controller
Inverse Dynamics	Proprioceptive contact detection
Forward Dynamics	Controller based on Reinforcement Learning (RL)

# Autonomous learning of the Kinematics

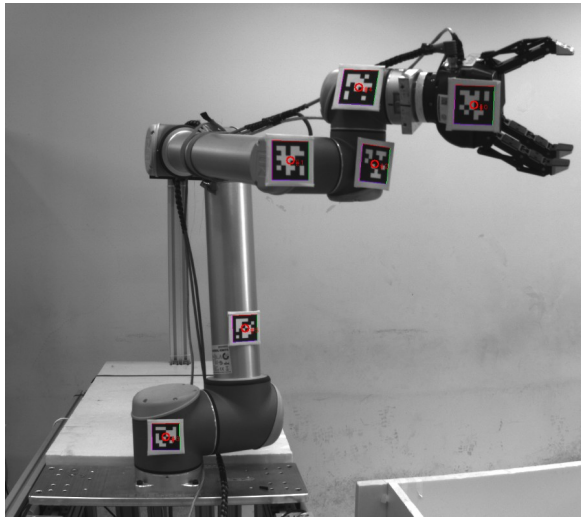
NO PRIOR INFORMATION  
ABOUT THE KINEMATICS

SETUP:

- 2D camera
- Fiducial markers  
(one for each link)

MEASURES

- Joint values
- Marker poses



REFERENCES:

- 2019 18th European Control Conference (ECC), Naples, Italy, 2019, pp. 1586-1591.  
A. Dalla Libera, M. Terzi, A. Rossi, G. A. Susto, R. Carli, Robot kinematic structure classification from time series of visual data
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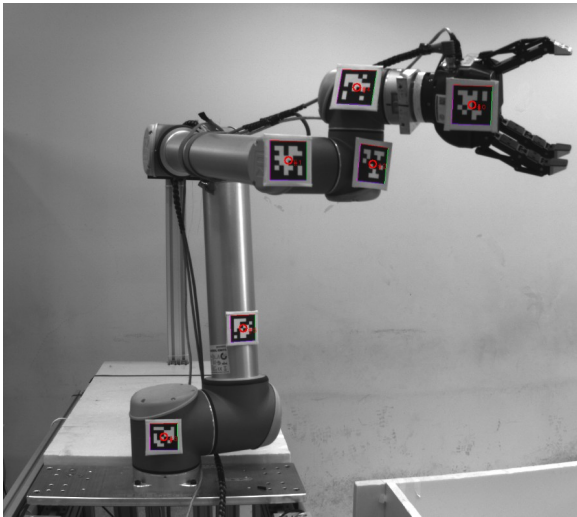
- Joint values
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DATA

KINEMATIC STRUCTURE CLASSIFICATION

Identification of:

- Joints order
- Joints type (prismatic or revolute)
- Markers/links order



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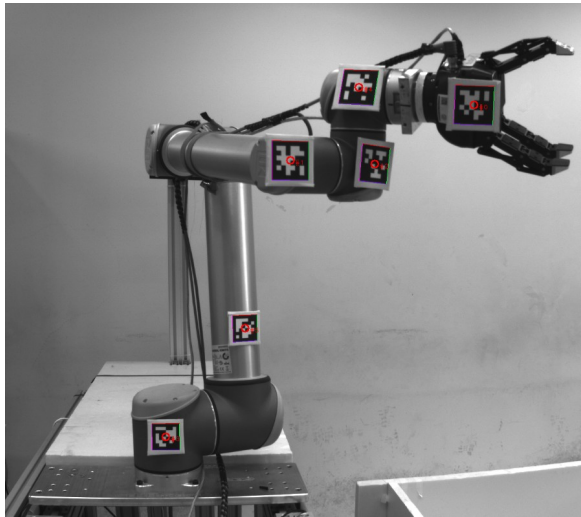
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$\hat{p}_M$  = Pose of the last marker

$\mathbf{q}$  = Joints coordinates

$$\hat{p}_M = \hat{f}(\mathbf{q})$$

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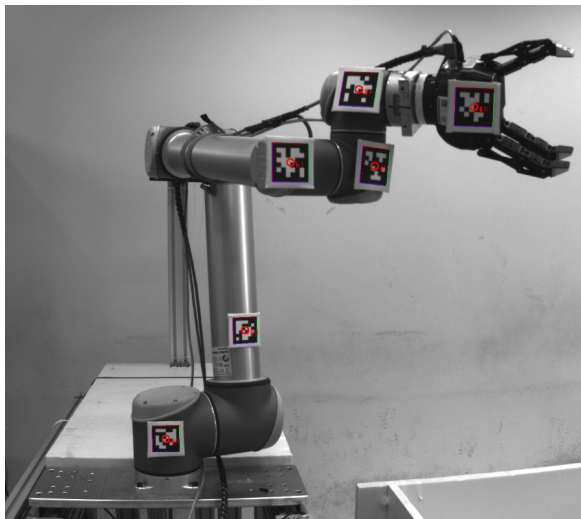
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Identified using  
Gaussian process  
regression

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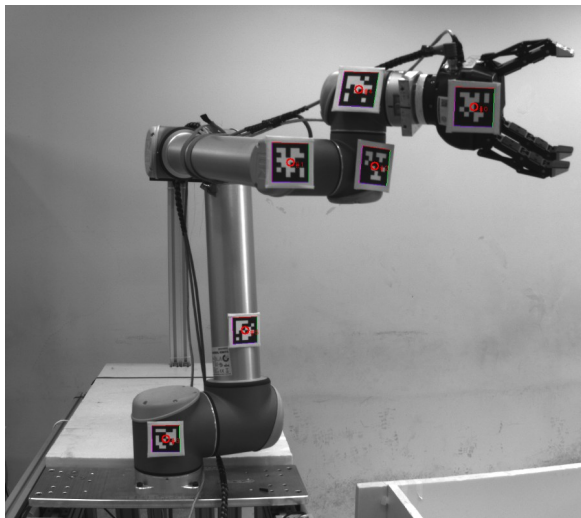
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KINEMATICS CONTROLLER:

$T$  = Sampling time

$\mathbf{p}_*$  = Target pose

$\mathbf{q}_d$  = Desired joint configuration

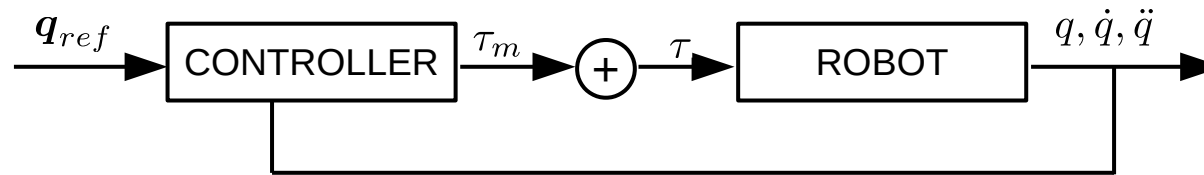
$\mathbf{q}_d(t + T) = \mathbf{q}_d + \gamma \nabla_{\mathbf{q}} (\mathbf{p}_* - \hat{p}_M)$

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# Proprioceptive contact detection

TASK: detect contacts using only proprioceptive measures (torques and joint coordinates)



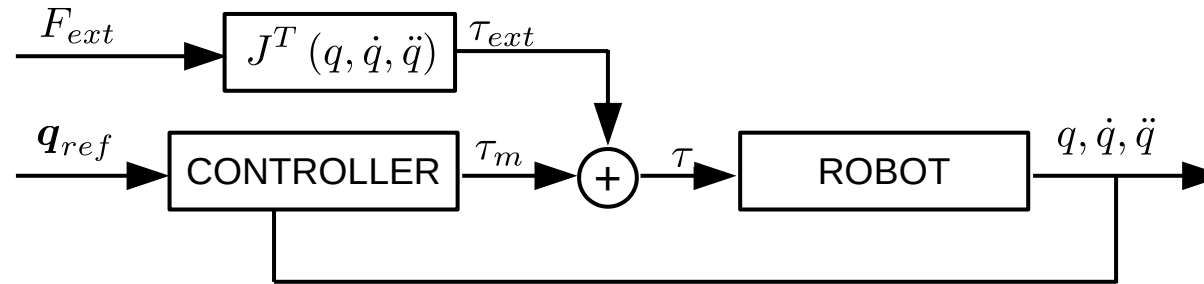
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When considering external forces...

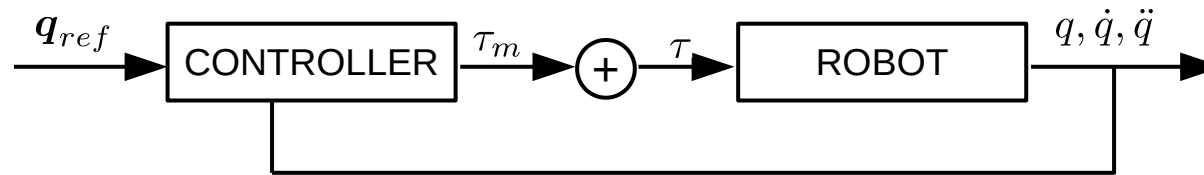


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TASK: detect contacts using only proprioceptive measures (torques and joint coordinates)



DIRECT ESTIMATION ALGORITHM:

- Derive an **inverse dynamics estimator**

$$X = \{(q_1, \dot{q}_1, \ddot{q}_1), \dots, (q_N, \dot{q}_N, \ddot{q}_N)\}$$

$$Y = \{\tau_{m_1}, \dots, \tau_{m_N}\}$$

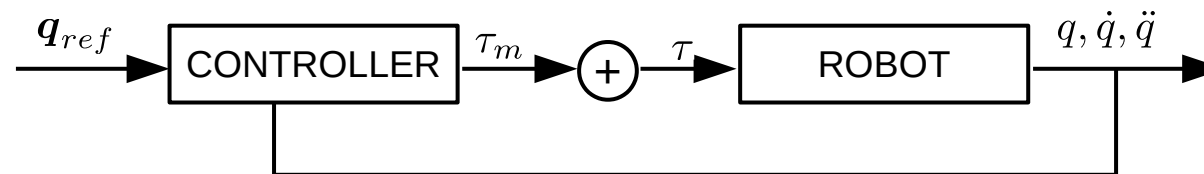
$$\hat{\tau}_m(q, \dot{q}, \ddot{q})$$

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# Proprioceptive contact detection

TASK: detect contacts using only proprioceptive measures (torques and joint coordinates)



## DIRECT ESTIMATION ALGORITHM:

- Derive an **inverse dynamics estimator**
- Characterize the estimator accuracy defining a **threshold** (e.g. **max error in a test set**)

$$X = \{(q_1, \dot{q}_1, \ddot{q}_1), \dots, (q_N, \dot{q}_N, \ddot{q}_N)\}$$
$$Y = \{\tau_{m_1}, \dots, \tau_{m_N}\}$$

$$\hat{\tau}_m(q, \dot{q}, \ddot{q})$$

$$e_\tau = |\tau_m - \hat{\tau}_m|$$
$$\sigma_{CD} = \max(e_\tau)$$

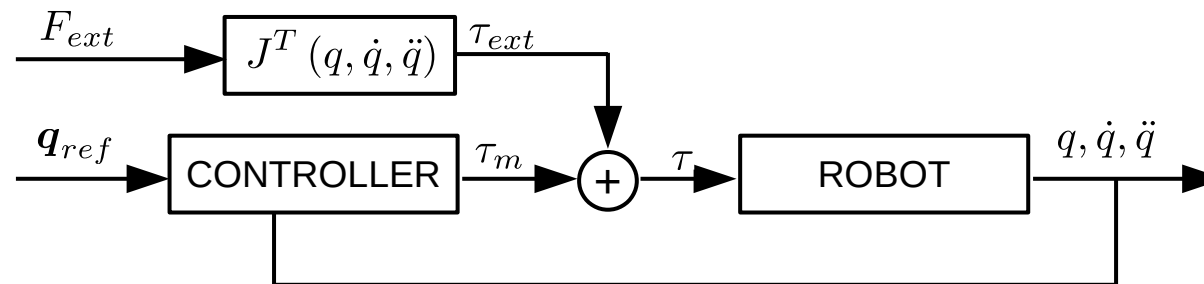
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# Proprioceptive contact detection

TASK: detect contacts using only proprioceptive measures (torques and joint coordinates)



## DIRECT ESTIMATION ALGORITHM:

- Derive an **inverse dynamics estimator**
- Characterize the estimator accuracy defining a **threshold** (e.g. **max error in a test set**)
- A collision occurred if the **estimation error is greater than the threshold**

$$X = \{(q_1, \dot{q}_1, \ddot{q}_1), \dots, (q_N, \dot{q}_N, \ddot{q}_N)\}$$
$$Y = \{\tau_{m_1}, \dots, \tau_{m_N}\}$$
$$\hat{\tau}_m(q, \dot{q}, \ddot{q})$$

$$e_\tau = |\tau_m - \hat{\tau}_m|$$
$$\sigma_{CD} = \max(e_\tau)$$

$$f_{CD}(e_\tau) = \begin{cases} \text{TRUE}, & \text{if } |e_\tau| \geq \sigma_{CD} \\ \text{FALSE}, & \text{if } |e_\tau| < \sigma_{CD} \end{cases}$$

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# MC-PILCO

OBJECTIVE: learning to perform a task based on data acquired interacting with the system  
MC-PILCO: Monte Carlo Probabilistic inference for learning Control

$\mathbf{x}(t)$  = System state at time  $t$

$\mathbf{u}(t)$  = Input vector at time  $t$

$\pi(\mathbf{x}(t), \boldsymbol{\theta}) = \mathbf{u}(t)$  = Policy ( $\boldsymbol{\theta}$  = Policy parameters)

$c(\mathbf{x}(t)) \geq 0$  = Local cost: encodes the task

$C(\mathbf{x}(0), \pi) = \sum_{t=0}^T c(\mathbf{x}(t))$  = Cumulative cost

THE GOAL IS MINIMIZING  
THE EXPECTED VALUE OF  
THE CUMULATIVE COST

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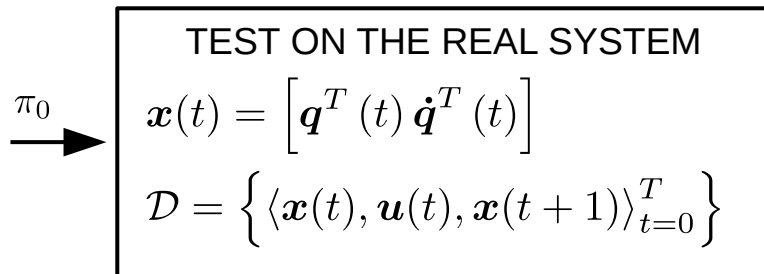
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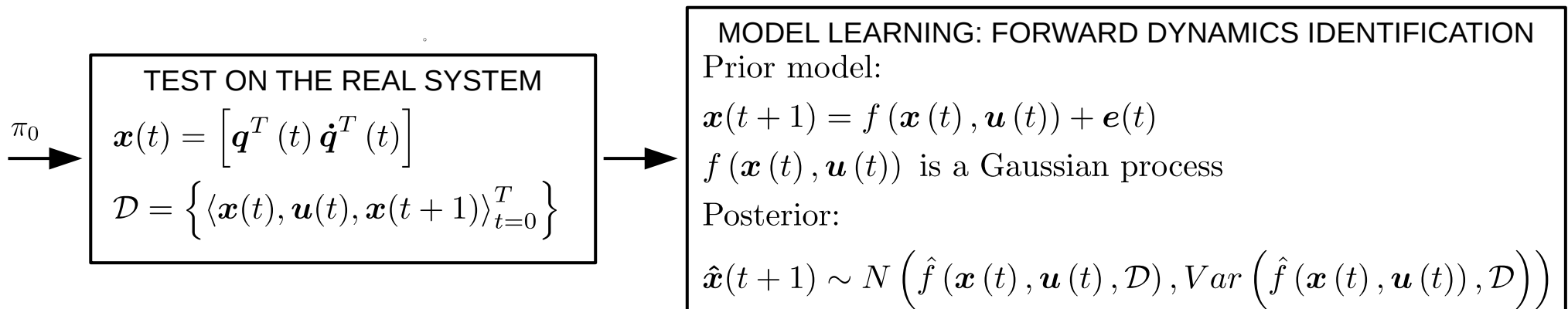
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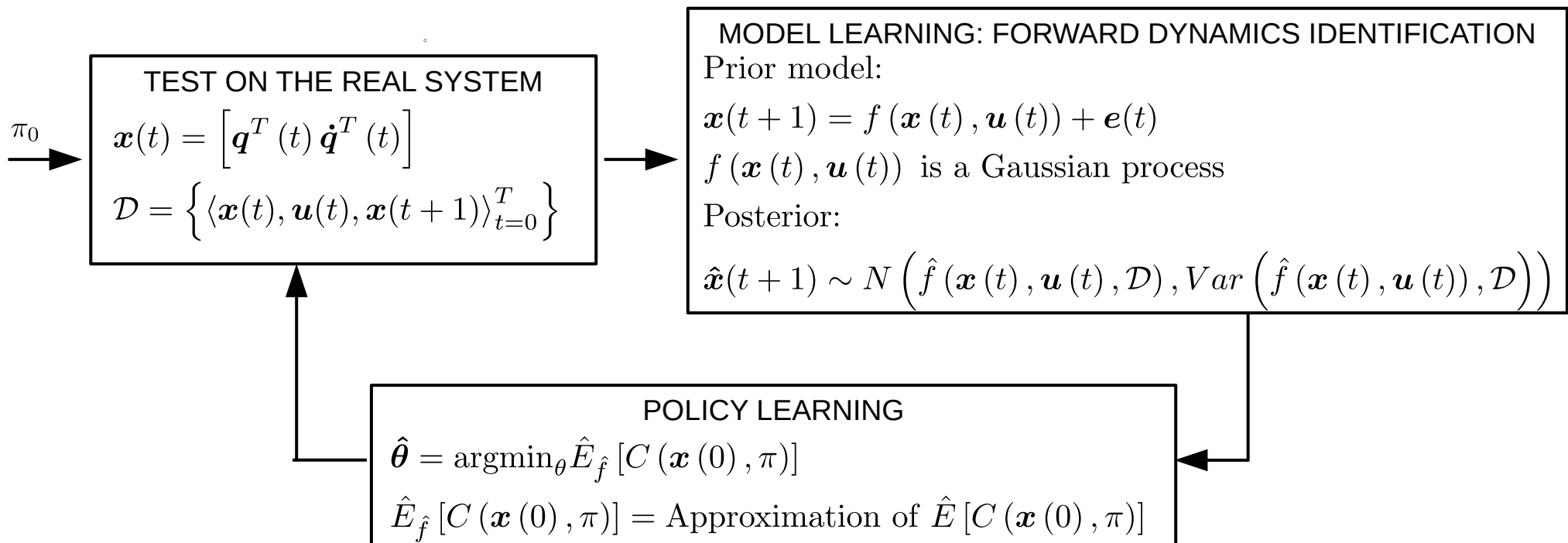
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# Outline GIP kernel

Geometrically Inspired Polynomial (GIP) kernel:

- Background on inverse dynamics identification
  - Parametric approach
  - Non-parametric approach (Gaussian process regression)
- Derivation of the GIP kernel
- Numerical experiments

# GIP kernel: background

INVERSE DYNAMICS: maps that relates joint trajectories and generalized torques

$n$  = Degrees of freedom

$q$  = Joint coordinates

$\tau$  = Generalized torques



# GIP kernel: background

INVERSE DYNAMICS: maps that relates joint trajectories and generalized torques

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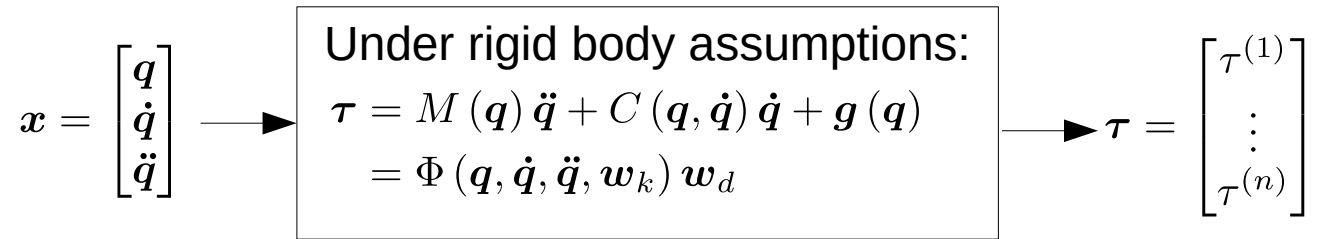
$\mathbf{q}$  = Joint coordinates

$\boldsymbol{\tau}$  = Generalized torques

$\mathbf{w}_d$  = Dynamics parameters

$\mathbf{w}_k$  = Kinematics parameters

$$\Phi(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}) = \begin{bmatrix} \phi^{(1)}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}, \mathbf{w}_k) \\ \vdots \\ \phi^{(n)}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}, \mathbf{w}_k) \end{bmatrix}$$





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INVERSE DYNAMICS: maps that relates joint trajectories and generalized torques

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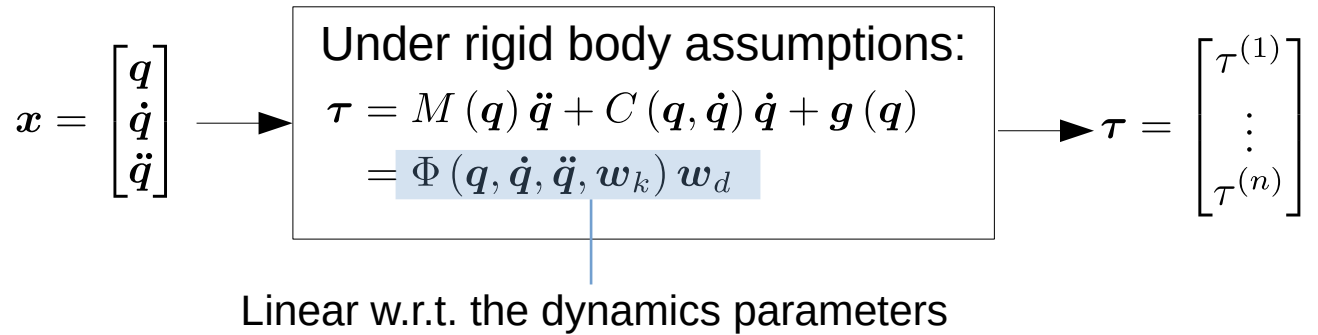
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Dependent on the kinematics parameters

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$$\mathbf{x} = \begin{bmatrix} \mathbf{q} \\ \dot{\mathbf{q}} \\ \ddot{\mathbf{q}} \end{bmatrix}$$

Under rigid body assumptions:

$$\boldsymbol{\tau} = M(\mathbf{q}) \ddot{\mathbf{q}} + C(\mathbf{q}, \dot{\mathbf{q}}) \dot{\mathbf{q}} + \mathbf{g}(\mathbf{q}) \\ = \Phi(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}, \mathbf{w}_k) \mathbf{w}_d$$

$$\boldsymbol{\tau} = \begin{bmatrix} \tau^{(1)} \\ \vdots \\ \tau^{(n)} \end{bmatrix}$$

Linear w.r.t. the dynamics parameters

Dependent on the kinematics parameters

PARAMETRIC IDENTIFICATION:

Training dataset

$X$  = Input locations

$$= \{\mathbf{x}_1, \dots, \mathbf{x}_T\}$$

$Y$  = Measures of  $\boldsymbol{\tau}$

$$= \{\mathbf{y}_1, \dots, \mathbf{y}_T\}$$

Assuming the  
kinematics  
parameters known

$$\hat{\mathbf{w}} = \operatorname{argmin}_{\mathbf{w}} \sum_{t=1}^T \|\mathbf{y}_t - \Phi(\mathbf{x}_t) \mathbf{w}\|^2$$

# GIP kernel: background

- Deriving physical models requires effort
- Kinematics parameters could be unknown or partially known
- Uncertainty in the kinematics parameters
- Unmodeled behaviors like frictions, elasticity, and backlash



Non-parametric approach: GAUSSIAN PROCESS REGRESSION

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Non-parametric approach: GAUSSIAN PROCESS REGRESSION

	TRAINING	TEST
DATA:	$X = \{\mathbf{x}_1, \dots, \mathbf{x}_T\}$	$X_* = \{\mathbf{x}_{1_*}, \dots, \mathbf{x}_{T_*}\}$
	$\mathbf{y}^{(k)} = [y_1^{(k)}, \dots, y_N^{(k)}]^T$	$\mathbf{y}_*^{(k)} = [y_{1_*}^{(k)}, \dots, y_{N_*}^{(k)}]^T$

- Joint torques are assumed independent (given the inputs)

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MODEL:	$\begin{bmatrix} \mathbf{y}^{(k)} \\ \mathbf{y}_*^{(k)} \end{bmatrix} = \begin{bmatrix} \mathbf{f}(X) \\ \mathbf{f}(X_*) \end{bmatrix} + \begin{bmatrix} \mathbf{e}(X) \\ \mathbf{e}(X_*) \end{bmatrix}$ with $\mathbf{e}(\cdot) \sim N(0, \sigma_e^2 I)$	

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PRIOR:	$\begin{bmatrix} \mathbf{f}(X) \\ \mathbf{f}(X_*) \end{bmatrix} \sim N \left( \begin{bmatrix} \mathbf{m}_f(X) \\ \mathbf{m}_f(X_*) \end{bmatrix}, \begin{bmatrix} K_f(X, X) & K_f(X, X_*) \\ K_f(X_*, X) & K_f(X_*, X_*) \end{bmatrix} \right)$	

- Joint torques are assumed independent (given the inputs)
- The kernel function defines the prior covariance:  
 $K_f(X, X) = E[X, X] \in \mathbb{R}^{T \times T}$   
 $E[y_i^{(k)}, y_j^{(k)}] = k(\mathbf{x}_i, \mathbf{x}_j)$

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MODEL:  $\begin{bmatrix} \mathbf{y}^{(k)} \\ \mathbf{y}_*^{(k)} \end{bmatrix} = \begin{bmatrix} \mathbf{f}(X) \\ \mathbf{f}(X_*) \end{bmatrix} + \begin{bmatrix} \mathbf{e}(X) \\ \mathbf{e}(X_*) \end{bmatrix}$  with  $\mathbf{e}(\cdot) \sim N(0, \sigma_e^2 I)$

PRIOR:  $\begin{bmatrix} \mathbf{f}(X) \\ \mathbf{f}(X_*) \end{bmatrix} \sim N \left( \begin{bmatrix} \mathbf{m}_f(X) \\ \mathbf{m}_f(X_*) \end{bmatrix}, \begin{bmatrix} K_f(X, X) & K_f(X, X_*) \\ K_f(X_*, X) & K_f(X_*, X_*) \end{bmatrix} \right)$

POSTERIOR:  $\hat{\mathbf{f}}(X_*) = K_f(X_*, X) (K_f(X, X) + \sigma_e^2 I)^{-1} \mathbf{y}^{(k)}$

- Joint torques are assumed independent (given the inputs)
- The kernel function defines the prior covariance:  
 $K_f(X, X) = E[X, X] \in \mathbb{R}^{T \times T}$   
 $E[y_i^{(k)}, y_j^{(k)}] = k(\mathbf{x}_i, \mathbf{x}_j)$
- The posterior can be computed in closed form

# GIP kernel: background

It is a common considering the **mean null**, and focusing on the **the kernel** function:

MODEL BASED (MB)

$$k_{\phi}(\mathbf{x}_i, \mathbf{x}_j) = \left( \phi^{(k)}(\mathbf{x}_i) \right)^T \Sigma_{\phi} \phi^{(k)}(\mathbf{x}_j)$$

- Data-efficiency
- Generalization

- Requires a model
- Model bias
- Unmodeled behaviors



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## NON-PARAMETRIC (NP)

$$k_{RBF}(\mathbf{x}_i, \mathbf{x}_j) = \lambda e^{-\frac{\|\mathbf{x}_i - \mathbf{x}_j\|_{\Sigma}^2}{2}}$$

- High model capacity
- Good asymptotic performance
- No prior information needed and no bias

- Low data-efficiency
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## SEMI-PARAMETRIC (SP)

$$k_{SP}(\mathbf{x}_i, \mathbf{x}_j) = k_{\phi}(\mathbf{x}_i, \mathbf{x}_j) + k_{RBF}(\mathbf{x}_i, \mathbf{x}_j)$$

- Merges the strengths of the two approaches:
- MB => generalization
  - NP => accuracy

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- NP compensation could be local

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We aim at deriving a kernel with the following properties:

- No need of strong prior information
- Data-efficiency
- Good generalization
- Good asymptotic performance

# GIP kernel: Derivation

## POLYNOMIAL NOTATION

$\mathbb{P}_{[p]}(\mathbf{a}_{[p_a]}, \mathbf{b}_{[p_b]})$  = Polynomial functions with maximal degree  $p$  in the elements of  $\mathbf{a}$  and  $\mathbf{b}$  such that, for each monomial, the relative degrees of the elements of  $\mathbf{a}$  (resp.  $\mathbf{b}$ ) are  $\leq p_a$  (resp.  $\leq p_b$ )

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## INPUT SPACE TRANSFORMATION

$$\mathbf{q}_c = \left[ \cos(q^{(r_1)}) \dots \cos(q^{(r_{N_r})}) \right]^T \in \mathbb{R}^{N_r}$$

$$\mathbf{q}_s = \left[ \sin(q^{(r_1)}) \dots \sin(q^{(r_{N_r})}) \right]^T \in \mathbb{R}^{N_r}$$

$$\mathbf{q}_{cs} = \left[ \mathbf{q}_c^T \mathbf{q}_s^T \right]^T$$

$$\mathbf{q}_p = \left[ q^{(p_1)} \dots q^{(p_{N_p})} \right]^T \in \mathbb{R}^{N_p}$$

$$\dot{\mathbf{q}}_v = \text{Vec} \left( \left\{ \dot{q}^{(i)} \dot{q}^{(j)}, 1 \leq i \leq n, i \leq j \leq n \right\} \right)$$

$\mathcal{I}_r$  = indices of the revolute joints

$$= \{r_1, \dots, r_{N_r}\}$$

$\mathcal{I}_p$  = indices of the prismatic joints

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## PROPOSITION: Characterization of the inverse dynamics as a polynomial function

The inverse dynamics is a polynomial function in  $\mathbb{P}_{(2n+1)}(\mathbf{q}_{c(2)}, \mathbf{q}_{s(2)}, \mathbf{q}_{p(2)}, \dot{\mathbf{q}}_{v(1)}, \ddot{\mathbf{q}}_{(1)})$ .

Moreover,  $\forall$  monomial  $\text{deg}(\mathbf{q}_c^{(i)}) + \text{deg}(\mathbf{q}_s^{(i)}) \leq 2$ .

### REFERENCE:

- IEEE Robotics and Automation Letters. PP. 1-1. 10.1109/LRA.2019.2945240.  
A. Dalla Libera, R. Carli. (2019). A Data-Efficient Geometrically Inspired Polynomial Kernel for Robot Inverse Dynamics.

# GIP kernel: Derivation

## KERNEL PROPERTIES (RKHS interpretation)

- The RKHS of the inhomogeneous Polynomial kernel is composed of all the monomials up to the polynomial degree
- The product of kernels is still a kernel, and its RKHS is given by the convolution of the RKHS of the two kernels

$\hat{f}$  is the MAP estimator  $\iff \hat{f} = \operatorname{argmin}_{f \in \mathcal{H}} \|\mathbf{y}^{(k)} - \mathbf{f}(X)\|^2 + \sigma_n^2 \|f\|_{\mathcal{H}}^2$  with  $\mathcal{H}$  RKHS of  $k$

$$k_{pl(p)}(\mathbf{a}(t_h), \mathbf{a}(t_j)) \rightarrow \mathbb{P}_{[p]}(\mathbf{a}_{[p]})$$

$$k_{pl(p_a)}(\mathbf{a}(t_h), \mathbf{a}(t_j)) k_{pl(p_b)}(\mathbf{b}(t_h), \mathbf{b}(t_j)) \rightarrow \mathbb{P}_{[p_a+p_b]}(\mathbf{a}_{[p_a]}, \mathbf{b}_{[p_b]})$$

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# GIP kernel: Derivation

## KERNEL PROPERTIES (RKHS interpretation)

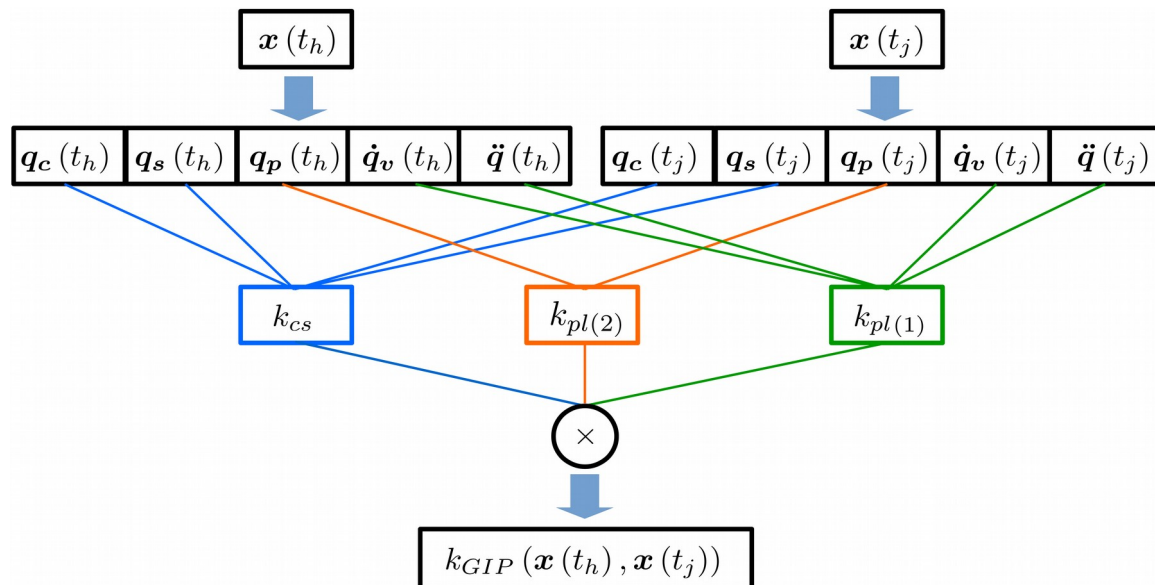
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## GIP KERNEL



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# GIP kernel: Derivation

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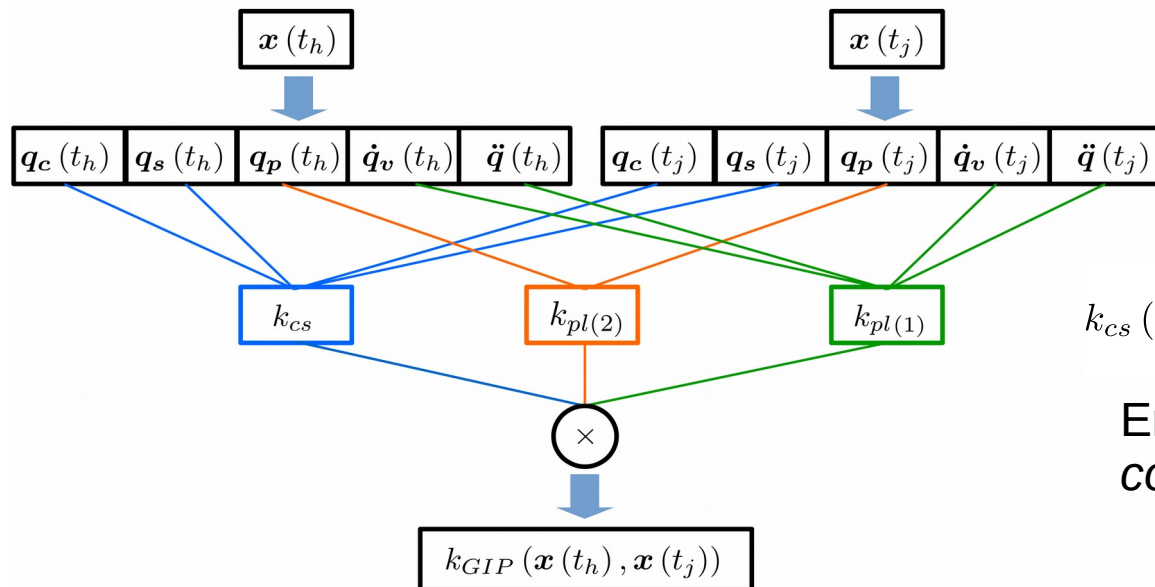
- The RKHS of the inhomogeneous Polynomial kernel is composed of all the monomials up to the polynomial degree
- The product of kernels is still a kernel, and its RKHS is given by the convolution of the RKHS of the two kernels

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## GIP KERNEL



$$k_{cs}(\mathbf{q}_{cs}(t_h), \mathbf{q}_{cs}(t_j)) = \prod_{r=r_1}^{N_r} k_{pl(2)} \left( \begin{bmatrix} q_c^{(r)}(t_h) \\ q_s^{(r)}(t_h) \end{bmatrix}, \begin{bmatrix} q_c^{(r)}(t_j) \\ q_s^{(r)}(t_j) \end{bmatrix} \right)$$

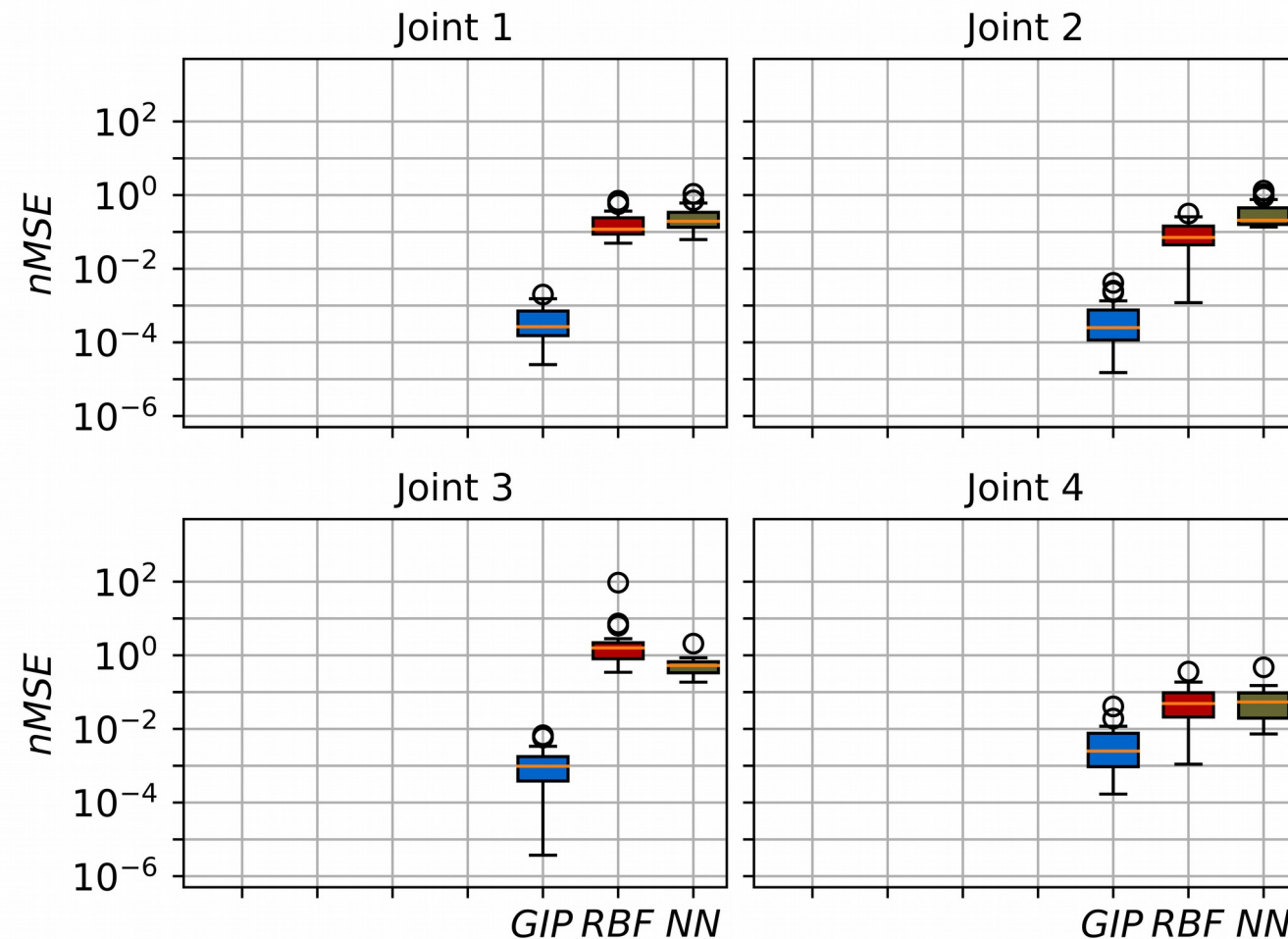
Encodes all the terms that depends on *cos* and *sin* satisfying:

$$\deg(\mathbf{q}_c^{(i)}) + \deg(\mathbf{q}_s^{(i)}) \leq 2$$

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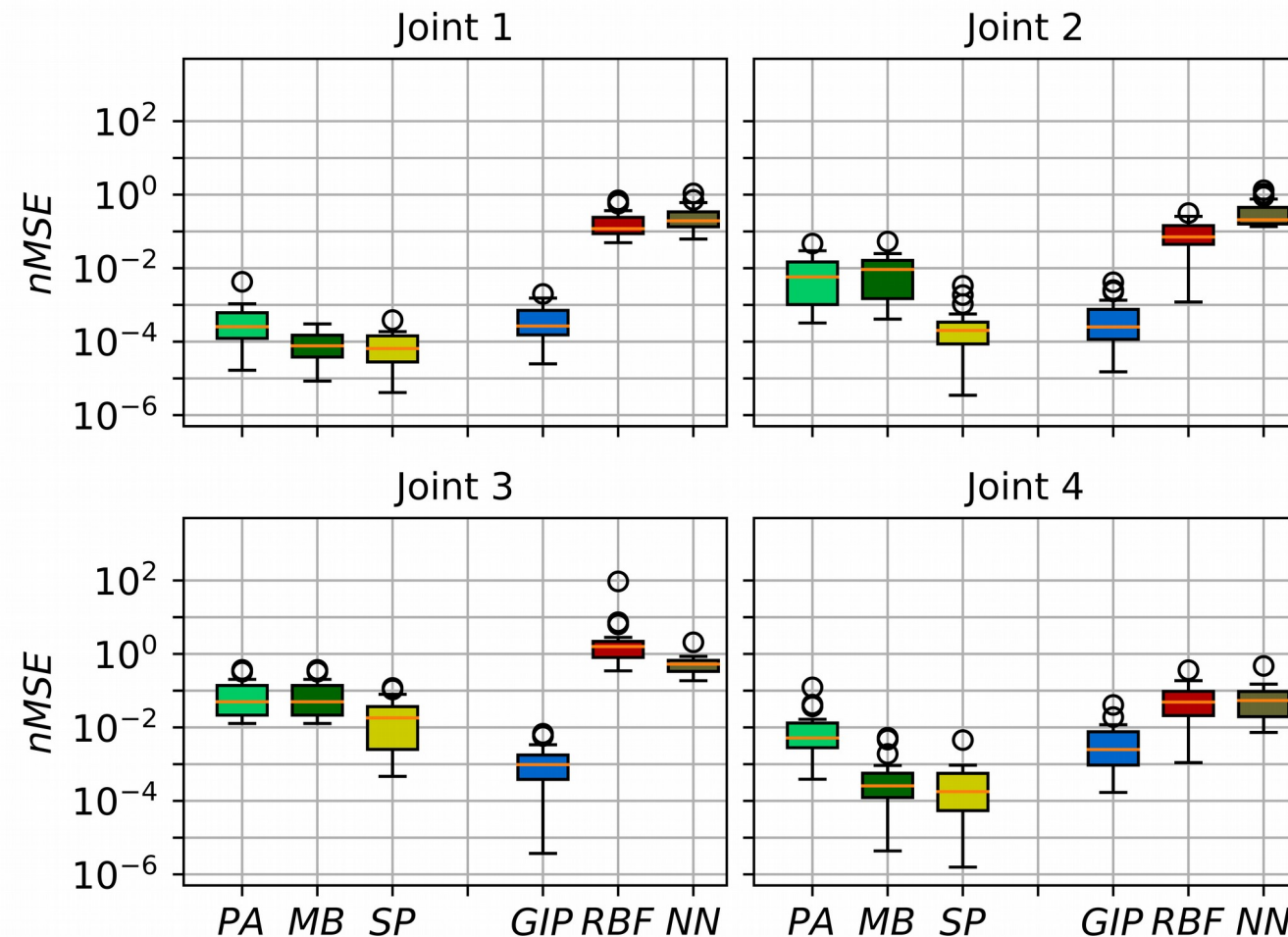
# GIP kernel: Numerical results



## MONTE CARLO EXPERIMENT:

- **Setup:** simulated SCARA robot
- **20 simulations:**
  - Training and test dataset: **2000 samples** (20 sec)
  - Joint trajectories: sum of **200 random sin**
- Measure of performance: **Normalized Mean Squared Error**
- Estimators compared:
  - **Model-free:**
    - GIP kernel
    - RBF kernel
    - NN: 2 layer neural network (400 sigmoids per layer)

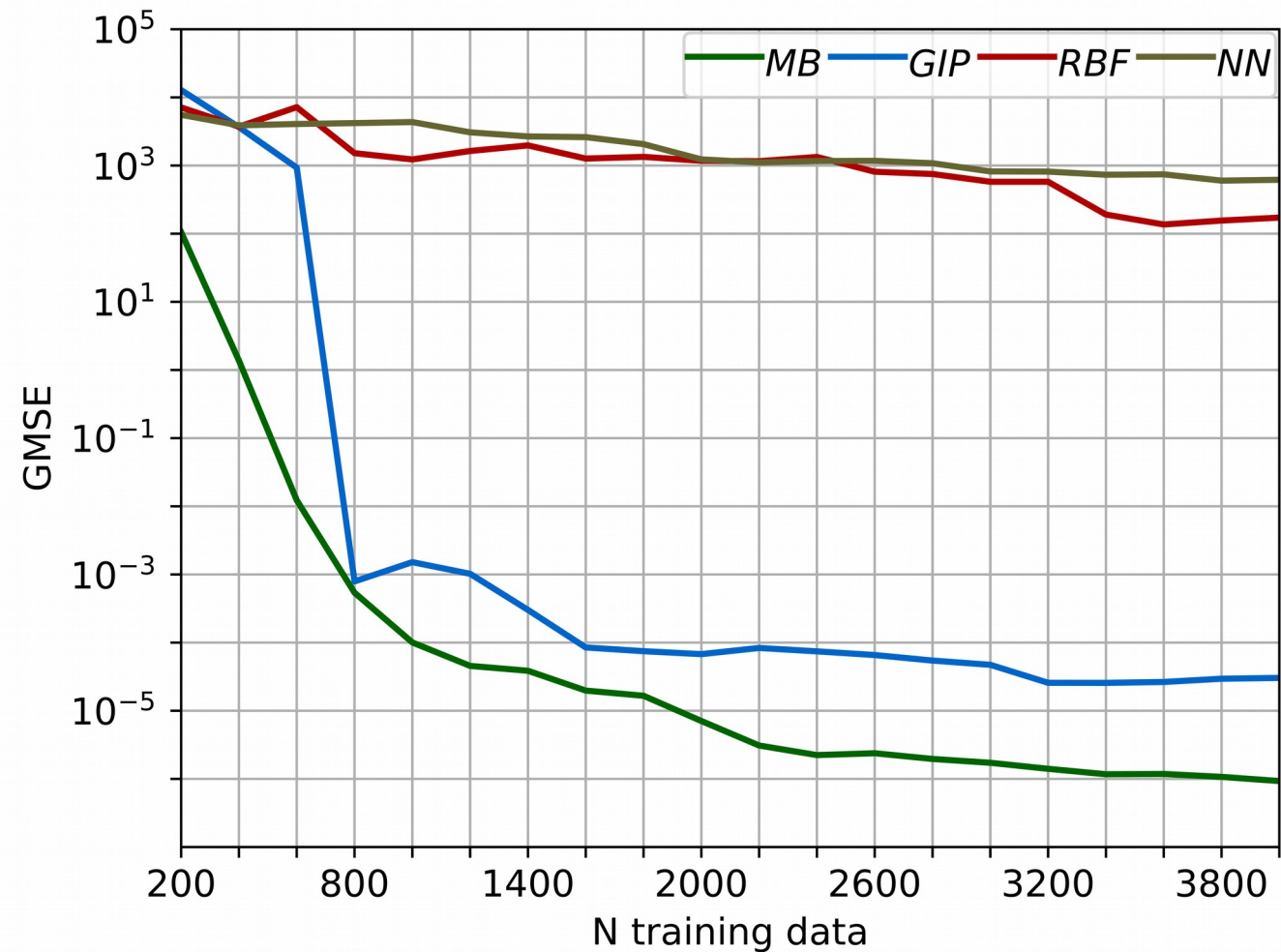
# GIP kernel: Numerical results



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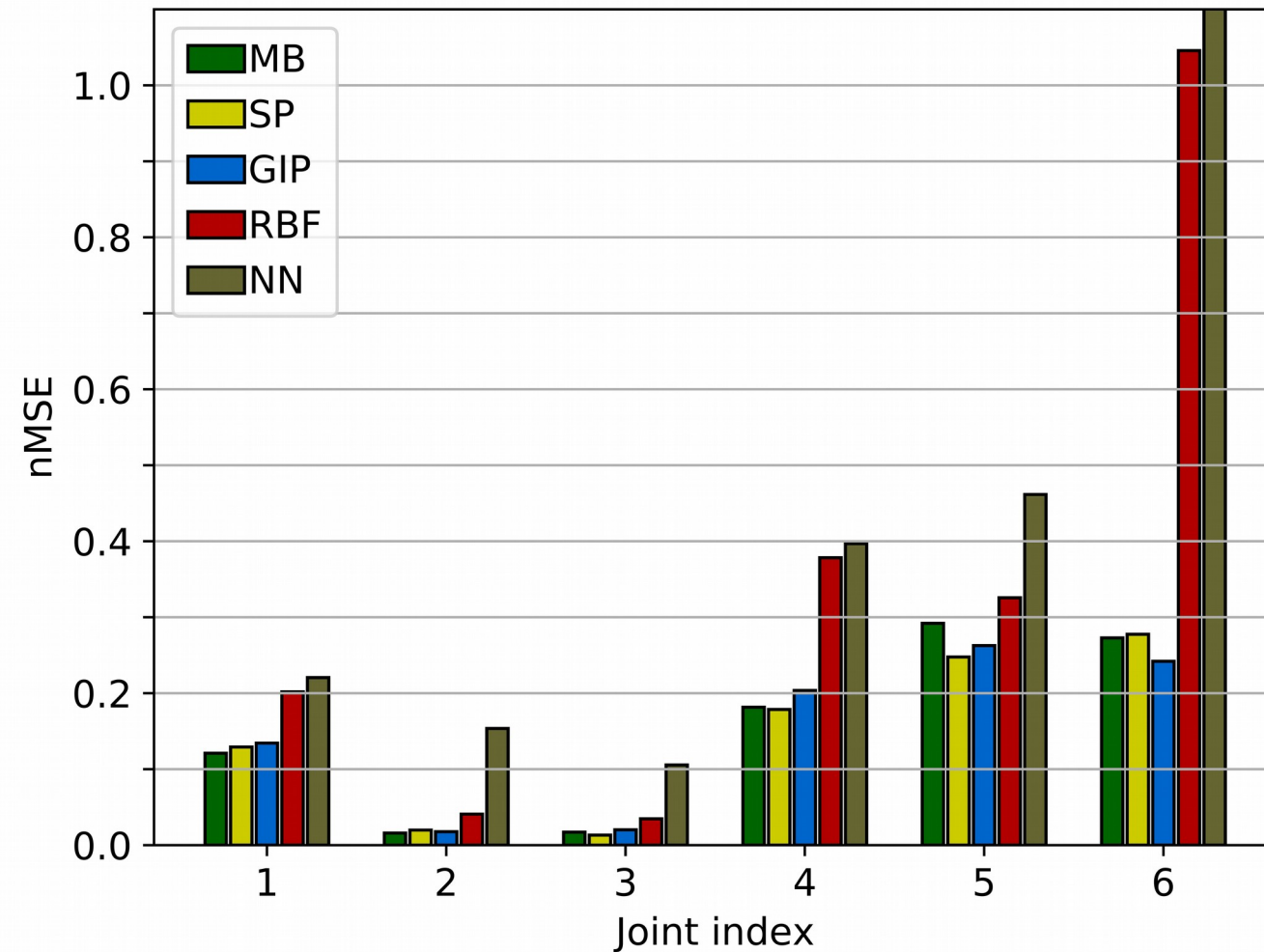
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    - GIP kernel
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  - **Model-Based** (with perturbation of the geometrical parameters):
    - PA: parametric approach
    - MB kernel
    - SP kernel

# GIP kernel: Numerical results



- DATE-EFFICIENCY TEST:
- **Setup:** simulated SCARA robot
  - Measure of performance: **Global Mean Squared Error**
  - Training and test dataset: 4000 samples (40 sec)
  - Estimators compared:
    - **Model-free:**
      - GIP kernel
      - RBF kernel
      - NN: 2 layer neural network (400 sigmoids per layer)
    - **Model-Based** (without perturbation of the geometrical parameters):
      - MB kernel

# GIP kernel: Numerical results



## TEST WITH REAL DATA:

- **Setup:** UR10 robot
- Measure of performance: **Normalized Mean Squared Error**
- Training dataset: 40000 (random points)
- Test dataset: 25000 (random points+ circle)
- Estimators compared:
  - **Model-free:**
    - GIP kernel
    - RBF kernel
    - NN: 2 layer neural network (400 sigmoids per layer)
  - **Model-Based:**
    - MB kernel
    - SP kernel

# Conclusion

- We have introduced different data-driven strategies which do not require high prior knowledge about the robot model
- The problems considered are:
  - Kinematics (modeling and control)
  - Dynamics (proprioceptive contact detection)
  - RL-based control
- We introduced the GIP kernel, a data-efficient kernel for inverse dynamics identification:
  - No need of strong prior information
  - Data-efficiency
  - Good generalization
  - Good asymptotic performance

THANKS FOR  
THE ATTENTION